

U.S. House of Representatives  
Committee on Ethics

EMPLOYEE POST-TRAVEL DISCLOSURE FORM

This form is for disclosing the receipt of travel expenses from private sources for travel taken in connection with official duties. This form does not eliminate the need to report privately-funded travel on the annual Financial Disclosure Statements of those employees required to file them. In accordance with House Rule 25, clause 5, you must complete this form and file it with the Clerk of the House, B-106 Cannon House Office Building, within 15 days after travel is completed. Please do not file this form with the Committee on Ethics.

NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001.

1. Name of Traveler: Michael Sinacore
2. a. Name of accompanying relative: \_\_\_\_\_ or None ☒  
b. Relationship to Traveler: ☐ Spouse ☐ Child ☐ Other (specify): \_\_\_\_\_
3. a. Dates of departure and return: Departure: August 12, 2015 Return: August 12, 2015  
b. Dates at personal expense (if any): \_\_\_\_\_ or None ☒
4. Departure city: Washington, DC Destination: Philadelphia Return city: Washington, DC
5. Sponsor(s) (who paid for the trip): Third Way
6. Describe meetings and events attended: \_\_\_\_\_
7. Attached to this form are EACH of the following (signify that each item is attached by checking the corresponding box):  
a. ☐ a completed Sponsor Post-Travel Disclosure Form;  
b. ☐ the Primary Trip Sponsor Form completed by the trip sponsor prior to the trip, including all attachments and Grantmaking or Non-Grantmaking Sponsor Forms;  
c. ☐ page 2 of the completed Traveler Form submitted by the employee; *and*  
d. ☐ the letter from the Committee on Ethics approving my participation on this trip.
8. a. I represent that I participated in each of the activities reflected in the attached sponsor's agenda. (Signify that statement is true by checking box): ☒  
b. If not, explain: \_\_\_\_\_

I certify that the information contained on this form is true, complete, and correct to the best of my knowledge.

SIGNATURE OF TRAVELER: Michael Sinacore

DATE: 8/19/2015

I authorized this travel in advance. I have determined that all of the expenses listed on the attached Sponsor Post-Travel Disclosure form were necessary and that the travel was in connection with the employee's official duties and would not create the appearance that the employee is using public office for private gain.

NAME OF SUPERVISING MEMBER: Bruce Poliquin

DATE: 8/19/2015

SIGNATURE OF SUPERVISING MEMBER: Bruce Poliquin

U.S. House of Representatives  
Committee on Ethics

## SPONSOR POST-TRAVEL DISCLOSURE FORM

This form must be completed by an officer of any organization that served as the primary trip sponsor in providing travel expenses or reimbursement for travel expenses to House Members, officers, or employees under House Rule 25, clause 5. *A completed copy of the form must be provided to each House Member, officer, or employee who participated on the trip within 10 days of their return.* You must answer all questions, and check all boxes, on this form for your submission to comply with House rules and the Committee's travel regulations. Failure to comply with this requirement may result in the denial of future requests to sponsor trips and/or subject the current traveler to disciplinary action or a requirement to repay the trip expenses.

**NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001.**

1. Sponsor(s) (who paid for the trip): Third Way
2. Travel Destination(s): Philadelphia, PA
3. Date of Departure: August 12, 2015 Date of Return: August 12, 2015
4. Name(s) of Traveler(s): Michael Sinacore  
(NOTE: You may list more than one traveler on a form only if all information is identical for each person listed.)
5. Actual amount of expenses paid on behalf of, or reimbursed to, each individual named in response to Question 4:

	Total Transportation Expenses	Total Lodging Expenses	Total Meal Expenses	Other Expenses (dollar amount per item and description)
Traveler	\$93	N/A	\$50.55	N/A
Accompanying Relative	N/A	N/A	N/A	N/A

6. All expenses connected to the trip were for actual costs incurred and not a *per diem* or lump sum payment. (Signify statement is true by checking box): ☒

I certify that the information contained in this form is true, complete, and correct to the best of my knowledge.

Signature: Gabriel Horwitz

Name: Gabriel Horwitz

Title: Director, Economic Program

Organization: Third Way

I am an officer of the above-named organization (signify statement is true by checking box): ☒

Address: 1025 Connecticut Avenue, NW, Suite 501

Washington, DC 20036

Telephone number: 202-384-1709

Email Address: ghorwitz@thirdway.org

*Committee staff may contact the above-named individual if additional information is required.*

If you have questions regarding your completion of this form, please contact the Committee on Ethics at (202) 225-7103.



U.S. House of Representatives  
Committee on Ethics

**TRAVELER FORM**


This form should be completed by House Members, officers, or employees seeking Committee approval of privately-sponsored travel or reimbursement for travel under House Rule 25, clause 5. The completed form should be submitted directly to the Committee by each invited House Member, officer, or employee, together with the completed and signed trip sponsor form(s) and any attachments. A copy of this form, minus this initial page, will be made available for public inspection. *Form (and any attachments) may be faxed to the Committee at (202) 225-7392, sent or delivered to the Committee at 1015 Longworth, or e-mailed to [travel.requests@mail.house.gov](mailto:travel.requests@mail.house.gov).*

**YOUR COMPLETED REQUEST MUST BE SUBMITTED TO THE COMMITTEE NO LESS THAN 30 DAYS BEFORE YOUR PROPOSED DEPARTURE DATE.** Absent exceptional circumstances, permission will not be granted for requests received less than 30 days before the trip commences. You must receive explicit approval from the Committee before you depart on this trip.

Name of Traveler: Michael Sinacore

**NOTE: Willful or knowing misrepresentations on this form  
may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001.**

I certify that the information contained on both pages of this form is true, complete, and correct to the best of my knowledge.

Signature: 

Name of signatory (if other than traveler): \_\_\_\_\_

For staff, name of employing Member or committee: Rep. Bruce Poliquin

Office address: 426 Cannon House Office Building

Telephone number: 5-6306

Email address of contact person: Michael.Sinacore@mail.house.gov

- ☐ Check this box if the sponsoring entity is a media outlet, the purpose of the trip is to make a media appearance sponsored by that entity, and these forms are being submitted to the Committee less than 30 days before the trip departure date.

**NOTE: You must complete all of the contact information fields above, as Committee staff may need to contact you if additional information is required.**

**KEEP A COPY OF THIS FORM.** Page 2 (but not this page) must be submitted to the Clerk as part of the post-travel disclosure required by House Rule 25. Travel Regulation § 404(d) also requires you to keep a copy of all request forms and supporting paperwork for three subsequent Congresses from the date of travel.

If there are any questions regarding this form please contact the Committee:

Committee on Ethics  
1015 Longworth House Office Building  
Washington, DC 20515  
(202) 225-7103 (phone)  
(202) 225-7392 (fax)  
Travel email: [travel.requests@mail.house.gov](mailto:travel.requests@mail.house.gov)

U.S. House of Representatives  
Committee on Ethics

TRAVELER FORM

1. Name of Traveler: Michael Sinacore
2. Sponsor(s) (who will be paying for the trip): Third Way
3. Travel destination(s): Philadelphia, PA
4. a. Date of departure 8/12/15 Date of return: 8/12/15  
b. Will you be extending the trip at your personal expense? ☐ Yes ☒ No  
If yes, dates at personal expense: \_\_\_\_\_
5. a. Will you be accompanied by a relative at the sponsor's expense? ☐ Yes ☒ No  
b. If yes:  
(1) Name of accompanying relative: \_\_\_\_\_  
(2) Relationship to traveler: ☐ Spouse ☐ Child ☐ Other (specify): \_\_\_\_\_  
(3) Accompanying relative is at least 18 years of age: ☐ Yes ☐ No
6. a. Did the trip sponsor answer "yes" to Question 9(d) on the Primary Trip Sponsor Form (i.e., travel is sponsored by an entity that employs a registered federal lobbyist or foreign agent and you are requesting lodging for two nights)? ☐ Yes ☒ No  
b. If yes, explain why the second night of lodging is warranted:  
\_\_\_\_\_  
\_\_\_\_\_
7. Primary Trip Sponsor Form is attached, including agenda, invitee list, and any other attachments and contributing sponsor forms: ☒ Yes ☐ No  
NOTE: The agenda should show the traveler's individual schedule, including departure and arrival times and identify the specific events in which the traveler will be participating.
8. Explain why participation in the trip is connected to the traveler's individual official or representational duties. Staff should include their job title and how the activities on the itinerary relate to their duties.  
Legislative Assistant. Michael handles various economic issues for the  
Congressman. Given his boss's role on the Financial Services  
Committee, he will provide insightful commentary about capital markets
9. Is the traveler aware of any registered federal lobbyists or foreign agents involved in planning, organizing, requesting, and/or arranging the trip? ☐ Yes ☒ No

10. **FOR STAFF TRAVELERS:**

**TO BE COMPLETED BY YOUR EMPLOYING MEMBER:**

ADVANCED AUTHORIZATION OF EMPLOYEE TRAVEL

I hereby authorize the individual named above, an employee of the U.S. House of Representatives who works under my direct supervision, to accept expenses for the trip described in this request. I have determined that the above-described travel is in connection with my employee's official duties and that acceptance of these expenses will not create the appearance that the employee is using public office for private gain.

Date: 8/10/2015

B. M. Polivina  
Signature of Employing Member

U.S. House of Representatives  
Committee on Ethics

**PRIMARY TRIP SPONSOR FORM**

This form should be completed by private entities offering to provide travel or reimbursement for travel to House Members, officers, or employees under House Rule 25, clause 5. A completed copy of the form (and any attachments) should be provided to each invited House Member, officer, or employee, who will then forward it to the Committee together with a Traveler Form at least 30 days before the start date of the trip. The trip sponsor should NOT submit the form directly to the Committee. The Committee Web site ([ethics.house.gov](http://ethics.house.gov)) provides detailed instructions for filling out the form.

**NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001. Failure to comply with the Committee's Travel Regulations may also lead to the denial of permission to sponsor future trips.**

1. Sponsor (who will be paying for the trip): \_\_\_\_\_  
Third Way
2. I represent that the trip will not be financed (in whole or in part) by a registered federal lobbyist or foreign agent (signify that the statement is true by checking box): ☒
3. Check only one: I represent that:
  - a. the primary trip sponsor has not accepted from any other source funds intended directly or indirectly to finance any aspect of the trip ☒ or
  - b. the trip is arranged without regard to congressional participation and the primary trip sponsor has accepted funds only from entities that will receive a tangible benefit in exchange for those funds ☐ or.
  - c. the primary trip sponsor has accepted funds from other source(s) intended directly or indirectly to finance all or part of this trip and has enclosed disclosure forms from each of those entities. ☐If "c" is checked, list the names of the additional sponsors: \_\_\_\_\_
4. Provide names and titles of ALL House Members and employees you are inviting. For each House invitee, provide an explanation of why the individual was invited (include additional pages if necessary):  
See supplemental attachment.
5. Is travel being offered to an accompanying relative of the House invitee(s)? ☐ Yes ☒ No
6. Date of departure: August 12, 2015 Date of return: August 12, 2015
7.
  - a. City of departure: Washington, D.C.
  - b. Destination(s): Philadelphia, PA
  - c. City of return: Washington, D.C.
8. I represent that (check one of the following):
  - a. The sponsor of the trip is an institution of higher education within the meaning of section 101 of the Higher Education Act of 1965: ☐ or
  - b. The sponsor of the trip does not retain or employ a registered federal lobbyist or foreign agent: ☒ or
  - c. The sponsor employs or retains a registered federal lobbyist or foreign agent, but the trip is for attendance at a one-day event and lobbyist/foreign agent involvement in planning, organizing, requesting, or arranging the trip was *de minimis* under the Committee's travel regulations. ☐
9. Check one of the following:
  - a. I checked 8(a) or (b) above: ☒
  - b. I checked 8(c) above but am not offering any lodging: ☐
  - c. I checked 8(c) above and am offering lodging and meals for one night: ☐ or
  - d. I checked 8(c) above and am offering lodging and meals for two nights: ☐If "d" is checked, explain why the second night of lodging is warranted: \_\_\_\_\_



10. Attached is a detailed agenda of the activities the House invitees will be participating in during the travel (i.e., an hourly description of planned activities for trip invitees) (indicate agenda is attached by checking box): ☒

11. Check one:

- a. I represent that a registered federal lobbyist or foreign agent will not accompany House Members or employees on any segment of the trip (signify that the statement is true by checking box): ☒ or  
b. N/A – trip sponsor is a U.S. institution of higher education. ☐

12. For each sponsor required to submit a sponsor form, describe the sponsor's interest in the subject matter of the trip and its role in organizing and/or conducting the trip:

Third Way will be responsible for developing all content, organizing logistics for participants to and from Philadelphia, and facilitating the policy discussions. This trip will be a policy day devoted to

better understanding of issues related to capital markets - as is consistent with Third Way's Capital Markets Initiative.

13. Answer parts a and b. Answer part c if necessary.

- a. Mode of travel: Air ☐ Rail ☒ Bus ☐ Car ☒ Other ☐ (Specify: \_\_\_\_\_)  
b. Class of travel: Coach ☒ Business ☐ First ☐ Charter ☐ Other ☐ (Specify: \_\_\_\_\_)  
c. If travel will be first class or by chartered or private aircraft, explain why such travel is warranted:

14. I represent that the expenditures related to local area travel during the trip will be unrelated to personal or recreational activities of the invitee(s). (signify that the statement is true by checking box): ☒

15. I represent that either (check one of the following):

- a. The trip involves an event that is arranged or organized *without regard* to congressional participation and that meals provided to congressional participants are similar to those provided to or purchased by other event attendees: ☐ or

- b. The trip involves events that are arranged specifically *with regard* to congressional participation: ☒

If "b" is checked:

1) Detail the cost per day of meals (approximate cost may be provided): \_\_\_\_\_  
Roughly \$60.00, which includes lunch, two snacks, and dinner accommodations.

2) Provide reason for selecting the location of the event or trip: \_\_\_\_\_  
The Wharton School of Business is one of the most prominent Business Schools in the United States and is located within easy traveling distance of Washington, D.C.

16. Name, nightly cost, and reasons for selecting each hotel or other lodging facility:

Hotel name: \_\_\_\_\_ City: \_\_\_\_\_ Cost per night: \_\_\_\_\_

Reason(s) for selecting: \_\_\_\_\_

Hotel name: \_\_\_\_\_ City: \_\_\_\_\_ Cost per night: \_\_\_\_\_

Reason(s) for selecting: \_\_\_\_\_

Hotel name: \_\_\_\_\_ City: \_\_\_\_\_ Cost per night: \_\_\_\_\_

Reason(s) for selecting: \_\_\_\_\_

17. I represent that all expenses connected to the trip will be for actual costs incurred and not a per diem or lump sum payment. (signify that the statement is true by checking box): ☒

18. **TOTAL EXPENSES FOR EACH PARTICIPANT:**

<input type="checkbox"/> actual amounts <input checked="" type="checkbox"/> good faith estimates	Total Transportation Expenses per Participant	Total Lodging Expenses per Participant	Total Meal Expenses per Participant
For each Member, Officer, or employee	\$100		\$60
For each accompanying relative			

	Other Expenses (dollar amount per item)	Identify Specific Nature of "Other" Expenses (e.g., taxi, parking, registration fee, etc.)
For each Member, Officer, or employee		
For each accompanying relative		

**NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001.**

19. Check one:

- a. I certify that I am an officer of the organization listed below. ☒ *or*  
b. N/A – sponsor is an individual or a U.S. institution of higher education. ☐

20. I certify that I am not a registered federal lobbyist or foreign agent for any sponsor of this trip. ☒

21. I certify by my signature that the information contained in this form is true, complete, and correct to the best of my knowledge.

Signature: 

Name: Gabriel Horwitz

Title: Director, Economic Program

Organization: Third Way

Address: 1025 Connecticut Avenue, N.W., Suite 501, Washington, D.C. 20036

Telephone number: 202-384-1709

Email address: ghorwitz@thirdway.org

If there are any questions regarding this form please contact the Committee at the following address:

Committee on Ethics  
U.S. House of Representatives  
1015 Longworth House Office Building  
Washington, DC 20515  
(202) 225-7103 (phone)  
(202) 225-7392 (general fax)



Charles W. Dent, Pennsylvania  
*Chairman*  
Linda T. Sánchez, California  
*Ranking Member*

Patrick Meehan, Pennsylvania  
Trey Gowdy, South Carolina  
Susan W. Brooks, Indiana  
Kenny Marchant, Texas

Michael E. Capuano, Massachusetts  
Yvette D. Clarke, New York  
Ted Deutch, Florida  
John B. Larson, Connecticut



ONE HUNDRED FOURTEENTH CONGRESS

## U.S. House of Representatives

### COMMITTEE ON ETHICS

Thomas A. Rust  
*Staff Director and Chief Counsel*

Joanne White  
*Administrative Staff Director*

Clifford C. Stoddard, Jr.  
*Counsel to the Chairman*

Daniel J. Taylor  
*Counsel to the Ranking Member*

1015 Longworth House Office Building  
Washington, D.C. 20515-6328  
Telephone: (202) 225-7103  
Facsimile: (202) 225-7392

July 27, 2015

Mr. Michael Sinacore  
Office of the Honorable Bruce Poliquin  
426 Cannon House Office Building  
Washington, DC 20515

Dear Mr. Sinacore:

Pursuant to House Rule 25, clause 5(d)(2), the Committee on Ethics hereby approves your proposed trip to Philadelphia, Pennsylvania, scheduled for August 12, 2015, sponsored by Third Way.

You must complete an Employee Post-Travel Disclosure Form (which your employing Member must also sign) and file it, together with a Sponsor Post-Travel Disclosure Form completed by the trip sponsor, with the Clerk of the House within 15 days after your return from travel. As part of that filing, you are also required to attach a copy of this letter and both the Traveler and Primary Trip Sponsor Forms (including attachments) you previously submitted to the Committee in seeking pre-approval for this trip. If you are required to file an annual Financial Disclosure Statement, you must also report all travel expenses totaling more than \$375 from a single source on the "Travel" schedule of your annual Financial Disclosure Statement covering this calendar year. Finally, Travel Regulation § 404(d) also requires you to keep a copy of all request forms and supporting information provided to the Committee for three subsequent Congresses from the date of travel.

If you have any further questions, please contact the Committee's Office of Advice and Education at extension 5-7103.

Sincerely,

Charles W. Dent  
Chairman

Linda T. Sánchez  
Ranking Member

CWD/LTS:mmm



## House Ethics Supplemental Form

### 1. Agenda

Depart DC at 8:10am — Arrive in Philadelphia at 9:58am

Depart Philadelphia at 6:10pm — Arrive in DC at 8:10pm



Third Way: Congressional B-School Day at Wharton  
The Aresty Institute of Executive Education  
The Wharton School of the University of Pennsylvania  
Philadelphia, PA  
12-Aug-15

Wednesday, August 12	
<b>Program Information</b>  <b>Classes:</b> First Floor Flat Classroom Steinberg Conference Center 255 South 38th Street Philadelphia, PA 19104 215.386.8300 (tel) 215.573.3426 (fax)  <b>Program Director:</b> Wendy McGeehan  <b>Faculty Director:</b> Richard Herring  <b>Program Coordinator:</b> Alyssa Greco  <b>For Questions, please contact:</b> Evan Miller <a href="mailto:evanmiller@wharton.upenn.edu">evanmiller@wharton.upenn.edu</a>	Breakfast on Your Own
	10:15 - 10:45
	Registration & Coffee
	10:45 - 11:00
	Welcome & Overview <i>Richard Herring</i>
	11:00 - 12:30
	Lecture, Q&A: Market Structure for U.S. Equities <i>James Angel</i>
	Lunch 12:30 - 1:15
	Continued Q&A, Application of Learning
	1:30 - 3:00
	Lecture, Q&A: Too Big To Fail <i>Richard Herring</i>
	Group Photo & Break 3:00 - 3:15
	3:15 - 4:45
	Case Study: Risk Management in Banking <i>Richard Herring</i>
	4:45 - 5:00
	Concluding Remarks
	5:15 - 6:30
	Optional Dinner/Departure

## 2. House Invitation List

First	Last Name	Employing Office	Title	Reason
Katelynn	Anderson	Rep. Eric Swalwell	Legislative Assistant	As a Legislative Assistant for Rep. Swalwell, Katelynn will provide important commentary on capital markets issues.
Brad	Bailey	Office of the House Speaker (Rep. John Boehner)	Assistant to the Speaker for Policy	As a key advisor to the Speaker of the House, Brad will have a unique perspective on financial services issues.
Erin	Bays	Rep. Stephen Lee Fincher	Legislative Assistant	As a Legislative Assistant to Rep. Fincher, Erin will have a valuable perspective given her boss' role on the Capital Markets and GSEs Subcommittee.
Yuri	Beckelman	Rep. Mark Takano	Deputy Chief of Staff	As the Deputy Chief of Staff for Rep. Takano, Yuri will provide significant commentary on financial services issues.
Jedd	Bellman	Rep. Elijah Cummings	Policy Analyst	As a senior aide to the Ranking Member of the Oversight Committee, Jedd will gain valuable knowledge on capital markets issues.
Jon	Blum	House Committee on Financial Services	Professional Staff Member	As a Professional Staff Member for the Financial Services Committee, Jon will have timely knowledge of financial services issues.
Chris	Brown	Rep. Blaine Luetkemeyer	Deputy Chief of Staff	As the Deputy Chief of Staff to Rep. Luetkemeyer, Chris will have a unique perspective given his boss' role on the Financial Services Committee.
Geoffrey	Browning	Rep. Anna Eshoo	Legislative Assistant	As a Legislative Assistant for Rep. Eshoo, Geoffrey will provide commentary on capital markets and financial services issues.
Beau	Brunson	Rep. David Schweikert	Deputy Chief of Staff/ Legislative Director	As a senior aide to Rep. Schweikert, a member of the Financial Services Committee, Beau will have commentary on capital markets.



<b>First</b>	<b>Last Name</b>	<b>Employing Office</b>	<b>Title</b>	<b>Reason</b>
Jaclyn	Cahan	Rep. Stephen Lynch	Counsel	As Counsel to Rep. Lynch, Jaclyn will be a valuable part of the legal discussion for capital markets given her boss' role on the Financial Services Committee.
Robert	Carter	Rep. Bill Posey	Legislative Assistant	As a Legislative Assistant for Rep. Posey, a member of the House Financial Services Committee, Robert will gain relevant knowledge on financial institutions and capital markets.
Katelyn	Christ	House Committee on Financial Services	Professional Staff Member	As a Professional Staff Member for the Financial Services Committee, Katelyn will provide valuable insight on capital markets.
Travis	Cone	Rep. Andy Barr	Legislative Director	As the Legislative Director for Rep. Barr, a member of the Financial Services Committee, Travis will bring knowledge of current capital markets issues to the event.
Patrick	Cuff	Rep. Steve Pearce	Legislative Director	Patrick will have a unique perspective and contribution to discussion of capital markets given his boss' position on the House Financial Services Committee.
Sarah	Curtis	Rep. Joe Kennedy	Legislative Director	As the Legislative Director to Rep. Kennedy, Sarah will convey the interests of a sophomore official from a banking centered region of the country.
Drew	Davidhizar	Rep. Jeb Hensarling/ House Financial Services Committee	Legislative Assistant/ Research Analyst	As a shared staff member of the House Financial Services Committee Chairman's office and the Committee, Drew will be a valuable contributor to the discussion about capital markets.
Stefanie	Dearie	Rep. Mia Love	Legislative Director	As the Legislative Director for Rep. Mia Love, Stefanie will have the unique perspective of a freshman member on the Financial Services Committee.

<b>First</b>	<b>Last Name</b>	<b>Employing Office</b>	<b>Title</b>	<b>Reason</b>
Sean	Dillon	Rep. Roger Williams	Legislative Director	As Legislative Director for Rep. Williams, a member of the Financial Services Committee, Sean will provide insight on timely capital markets issues.
Mike	Donnal	Rep. Jack Kingston	Legislative Assistant	As a Legislative Assistant for Rep. Kingston, Mike will gain knowledge on capital markets and financial services issues.
Mona	Dooley	Rep. Peter King	Legislative Assistant	As a Legislative Assistant to Rep. Peter King, Mona has unique exposure to capital markets and can provide insight specific to New York.
Adam	Durand	Rep. Collin Peterson	Deputy Chief of Staff/ Legislative Director	Adam will be a valuable contributor to our discussion of derivatives given Rep. Peterson's position as Ranking Member of the House Agriculture Committee.
Hart	Edmonson	Rep. Denny Heck	Chief of Staff	As the Chief of Staff for Rep. Heck, Hart will have a unique perspective given his boss' role on the Financial Services Committee.
Baker	Elmore	Rep. Joe Wilson	Legislative Director	As Legislative Director for Rep. Wilson, Baker will gain knowledge on capital markets and financial services issues.
Margaret	Franklin	Rep. Alma Adams	Legislative Assistant	As a Legislative Assistant for Rep. Adams, Margaret will gain knowledge on capital markets and financial services issues.
Corey	Frayar	House Committee on Financial Services	Senior Professional Staff Member	Corey's role on the House Financial Services Committee will allow him to provide a valuable perspective on current capital markets issues.
Jacob	Fullmer	Rep. Cresent Hardy	Legislative Assistant	As a Legislative Assistant to Rep. Hardy, a member of the House Small Business Committee, Jacob will gain valuable knowledge on capital markets and financial services issues.



First	Last Name	Employing Office	Title	Reason
Carmen	Gallus	Rep. Ann Kirkpatrick	Chief of Staff	As Chief of Staff to Rep. Kirkpatrick, Carmen will discuss capital markets issues as they relate to Arizona.
Kyle	Glenn	Rep. Dennis Ross	Deputy Chief of Staff	As Deputy Chief of Staff for Rep. Ross, Kyle will provide insightful capital markets commentary as it relates to Florida given his boss' role on the Financial Services Committee.
Rob	Goad	Rep. Luke Messer	Legislative Assistant	As a Legislative Assistant for Rep. Messer, Rob will gain capital markets knowledge applicable to his boss' role on the Financial Services Committee.
Andrew	Goodwin	Rep. Barbara Lee	Legislative Assistant	As a Legislative Assistant to Rep. Lee, Andrew will be a part of an important learning experience that will provide key information about capital markets and banking.
Sheila	Grant	Rep. John Carney	Chief of Staff	Sheila's work with Rep. Carney, a member of the House Financial Services Committee, will allow her to provide a unique perspective on financial services issues as they pertain to the state of Delaware.
Scott	Graves	House Committee on Agriculture	Staff Director	Scott will contribute a unique perspective on derivatives given his experience and role on the House Committee on Agriculture.
Hana	Greenberg	Rep. Ron Kind	Legislative Assistant	As a Legislative Assistant to New Democrat chairman Rep. Kind, Hana will gain valuable knowledge on capital markets issues.
Matthew	Groves	Rep. Paul Cook	Legislative Counsel	As a Legislative Counsel to Rep. Cook, Matthew will gain valuable insight into financial services regulations.

<b>First</b>	<b>Last Name</b>	<b>Employing Office</b>	<b>Title</b>	<b>Reason</b>
Roberto	Haddad	Rep. Rubén Hinojosa	Legislative Assistant	As a Legislative Assistant to Rep. Hinojosa, a member of the House Financial Services Committee, Roberto will provide a timely perspective on current capital markets issues.
Jonathan	Halpern	Rep. Sanford Bishop	Legislative Director	As the Legislative Director for Rep. Bishop, who is on both the Financial Services and Agriculture Subcommittees for Appropriations, Jonathan will participate in discussions and gain expertise about derivatives and other capital markets issues.
Priscila	Hammett	Rep. Judy Chu	Legislative Assistant	As a Legislative Assistant to Rep. Chu, Priscila will provide valuable knowledge on capital markets as they relate to California.
Holli	Heiles	Rep. French Hill	Senior Advisor & Counsel	As a senior aide to Rep. Hill, a freshman member of the House Financial Services Committee with a background in the banking industry, Holli will be able to convey her boss' unique perspective.
Juan	Hinojosa	Rep. Mike Quigley	Chief of Staff	Juan will provide a unique perspective given his boss' experience on the Committee on Oversight and Government Reform's Subcommittee on TARP, Financial Services, and Bailouts.
Jeremy	House	Rep. G.K. Butterfield	Legislative Assistant	As a Legislative Assistant for Rep. Butterfield, Jeremy will provide commentary on capital markets issues.
Zach	Howell	Rep. John Katko	Legislative Director	As the Legislative Director for Rep. Katko, Zach will gain knowledge of capital market issues as they apply to the state of New York.
Michael	Iger	Rep. Carolyn Maloney	Chief of Staff	As Chief of Staff to Rep. Maloney, a member of the Subcommittee on Capital Markets and GSEs, Mike will be able to discuss the intricacies of capital markets.



<b>First</b>	<b>Last Name</b>	<b>Employing Office</b>	<b>Title</b>	<b>Reason</b>
Danielle	Janowski	Rep. Keith Rothfus	Legislative Director	As Legislative Director for Rep. Rothfus, Danielle will be knowledgeable about capital markets issues given her boss' role on the Financial Services Committee.
Michelle	Jelnicky	Rep. Frank Guinta	Legislative Director	As the Legislative Director for Rep. Guinta, Michelle will provide insightful capital markets commentary given her boss' role on the Financial Services Committee.
Monica	Jirik Didiuk	Rep. Adrian Smith	Chief of Staff	As Chief of Staff to Rep. Smith, Monica will discuss the capital markets issues affecting the constituents of Nebraska.
Ellen	Johnson	Rep. Lynn Westmoreland	Senior Legislative Assistant	As a Senior Legislative Assistant for Rep. Westmoreland, Ellen will have valuable insights on capital markets and derivatives given her boss' role on the Financial Services Committee.
Morgan	Jones	Rep. Donald Norcross	Legislative Director	As the Legislative Director for Rep. Norcross, Morgan will have a unique perspective given his boss' role on the Budget Committee.
Meg	Joseph	Rep. Kyrsten Sinema	Chief of Staff	Meg will provide valuable insight on current capital markets issues given her boss' role on the House Financial Services Committee.
John	Lange	Rep. Robert Hurt	Legislative Director	As the Legislative Director for Rep. Robert Hurt, a member of the House Financial Services Committee, John will have extensive knowledge of financial services.
Rob	Larew	House Committee on Agriculture	Minority Staff Director	Rob will provide insightful commentary on derivatives given his senior role on the House Committee on Agriculture.

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Richard	Lee	Rep. Collin Peterson	Legislative Assistant/ Finance Specialist	Richard will have extensive knowledge to add to the discussion on derivatives considering Rep. Peterson's role as the ranking member of the Agriculture Committee.
Courtney	Lincoln	Rep. Frank Lucas	Deputy Chief of Staff	Courtney will be a valuable contributor to the conversation on capital markets given her boss' role on the House Committee on Financial Services and Capital Markets and GSE Subcommittee.
Jaime	Lizarraga	Office of the House Democratic Minority Leader	Senior Advisor/ Director of Member Services	As a Senior Advisor to House Minority Leader Pelosi, Jaime will gain valuable knowledge on capital markets issues as they pertain to the national economy.
Daniel	Lodise	Rep. Brendan Boyle	Chief of Staff	As the Chief of Staff for Rep. Boyle, a new member who represents Philadelphia, Daniel will be able to discuss capital markets issues as they relate to Pennsylvania.
Jay	Lucas	Rep. Marlin Stutzman	Senior Legislative Assistant	As Senior Legislative Assistant for Rep. Stutzman, Jay Lucas will benefit from the discussion on capital markets given his boss' role on the Financial Services Committee.
Jason	Lumia	Rep. Jim Cooper	Legislative Director	Jason will provide a unique perspective to the discussion on too big to fail given his boss' role as a member of the Committee on Oversight and Government Reform.
Bret	Manley	Rep. Jeff Denham	Legislative Director	As a Legislative Director for Rep. Denham, Bret will provide important commentary on the capital markets issues affecting California's residents.
Marcos	Manosalvas	House Financial Services Committee	Press Secretary	As Press Secretary for the Financial Services Committee, Marcos plays an important role in shaping how the committee's

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				policy issues are communicated and will benefit from financial services discussions.
Alyssa	Marois	Rep. Kyrsten Sinema	Senior Policy Advisor	As a Senior Policy Advisor to Rep. Sinema, who sits on the Financial Services Committee, Alyssa will be a part of an important learning experience that will provide key information about capital markets and banking.
Ryan	McCormack	Rep. Sean Duffy	Legislative Assistant	Ryan will provide a unique perspective on capital markets regulation given his boss' position as the Chairman for the House Financial Services Subcommittee on Oversight and Investigations.
Dan	McGlinchey	House Committee on Financial Services	Senior Professional Staff Member	As a staff member for the Financial Services Committee, Dan will provide valuable expertise on current financial services issues.
DeCarlo	McLaren	Rep. Joyce Beatty	Financial Services Counsel	As Rep. Beatty's Financial Services Counsel, DeCarlo will provide a valuable perspective on financial services issues affecting constituents in Ohio.
Kelli	McMorrow	Rep. Randy Neugebauer	Legislative Director	Given Rep. Neugebauer's experience as the Chairman of the Financial Services Subcommittee on Financial Institutions and Consumer Credit, as well as a member of the Subcommittee on Capital Markets and GSEs, Kelli will contribute to our discussions of derivatives and too big to fail.
Ken	Montoya	Rep. Ann Kirkpatrick	Legislative Director	As the Legislative Director to Rep. Kirkpatrick, Ken will provide commentary on the capital markets issues affecting the constituents of Arizona.

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Mark	Morehouse	Rep. Mac Thornberry	Policy Advisor	As an aide to Rep. Thornberry, Mark will have a unique global perspective to contribute on cybersecurity issues, a growing area of focus in financial services.
Matt	Mulder	Rep. Pat McHenry	Chief Counsel/ Legislative Director	Matt will provide a unique perspective on government oversight of capital markets given his boss' experience as the Chairman of the House Financial Services Committee's Oversight and Investigations Subcommittee.
Luke	Murry	Rep. Ted Poe	Legislative Assistant	As a Legislative Assistant for Rep. Poe, Luke will provide important commentary on global financial services issues.
George	O'Connor	Rep. Scott Perry	Deputy Legislative Director	As the Deputy Legislative Director for Rep. Perry, George will contribute to discussion with his perspective on capital markets issues affecting Pennsylvania's residents.
Gregg	Orton	Rep. Al Green	Deputy Chief of Staff	As a senior aide to Rep. Green, Gregg will provide commentary on capital markets issues given his boss' role on the Financial Services Committee.
Charla	Ouertatani	Rep. Maxine Waters	Deputy Chief of Staff	As the Deputy Chief of Staff to Rep. Waters, the Ranking Member of the Financial Services Committee, Charla will provide relevant insights to discussions about capital markets issues.
Kunal	Pahwa	Rep. Pat McHenry	Senior Legislative Assistant	Kunal will provide a unique perspective on government oversight of capital markets given his boss' experience as Chairman of the House Financial Services Committee's Oversight and Investigations Subcommittee.
Richard	Pecantte	Rep. William Lacy Clay	Senior Legislative Assistant	As Senior Legislative Assistant for Rep. Clay, a member of the Financial Services Committee, Richard will gain valuable



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				information on capital markets.
Justin	Pelletier	Rep. Nydia Velázquez	Legislative Assistant	As a Legislative Assistant for Rep. Velázquez, who is a member of the Financial Services Committee and from a significant banking region, Justin will provide unique commentary on capital markets.
Mary	Petrovic	Rep. Emanuel Cleaver	Press Secretary/ Legislative Assistant	As the Press Secretary and Legislative Assistant for Rep. Cleaver, who sits on the Housing and Insurance and Oversight and Investigations subcommittees on the House Financial Services Committee, Mary will provide insight about communicating financial services issues.
Tom	Prewitt	Rep. Scott Desjarlais	Research Director	As the Research Director for Rep. Desjarlais, who sits on the House Committee on Agriculture, Tom will be able to discuss the impact of derivatives on commodities.
Brett	Quick	Rep. Stephen Fincher	Legislative Director	As Legislative Director for Rep. Fincher, Brett will contribute knowledge on financial institutions and capital markets.
Philip	Reboli	Rep. Mark Walker	Legislative Assistant	As a Legislative Assistant for Rep. Walker, Philip will gain knowledge on financial institutions and capital markets helpful to advising a new Member of Congress.
Justin	Roth	Rep. Martha McSally	Chief of Staff	As Chief of Staff to Rep. McSally, Justin will have the unique perspective of a freshman member on financial services issues.
Fernando	Ruiz	Rep. Adam Smith	Legislative Assistant	Fernando handles the financial services portfolio for Rep. Smith and will gain valuable knowledge of capital markets issues from this policy day.

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Ryan	Rusbuldt	Rep. Frank Guinta	Legislative Assistant	As a Legislative Assistant to Rep. Guinta, Ryan will gain a unique perspective on capital markets given his boss' role on the Financial Services Committee.
Erik	Rust	Rep. Ann Wagner	Senior Legislative Assistant	As the Senior Legislative Assistant focusing on financial services for Rep. Wagner, Erik will make a significant contribution to the conversation given his boss' role on the Financial Services Committee.
Aymeric	Saha	Rep. Gregory Meeks	Policy Director for Financial Services	As an aide to Rep. Meeks, Aymeric will convey the interests of a member who sits on the Financial Services Committee and is from a significant banking region.
Matt	Schertz	House Committee on Agriculture	Policy Director	As the Policy Director for the House Committee on Agriculture, Matt will provide a unique perspective on derivatives and other capital markets issues affecting the agriculture industry.
Aaron	Schmidt	Rep. Suzan DelBene	Chief of Staff	As Chief of Staff to Rep. DelBene, a member of the Agriculture Committee, Aaron will be able to discuss the intricacies of derivatives in capital markets.
Kirk	Schwarzbach	House Committee on Financial Services	Senior Professional Staff Member	Kirk's role in the House Committee on Financial Services makes him a valuable contributor to our discussion on capital markets regulation and derivatives.
Kathleen	Sengstock	Rep. Maxine Waters	Senior Legislative Assistant	As Senior Legislative Assistant to Rep. Waters, the Ranking Member of the Financial Services Committee, Kathleen will contribute timely knowledge about capital markets issues.
Jennifer	Shapiro	Rep. Emanuel Cleaver	Legislative Director	As Legislative Director to Rep. Cleaver, Jennifer will have a unique perspective given her boss' role on the Financial Services Committee.

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Alison	Share	Rep. Dan Kildee	Legislative Director	As Legislative Director to Rep. Kildee, a member of the Financial Services Committee, Alison will gain important knowledge applicable to committee work.
Dustin	Sherer	Rep. Scott Tipton	Legislative Director	As Legislative Director to Rep. Tipton, who sits on the Financial Services Committee, Dustin will gain valuable information about capital markets issues.
Marc	Shultz	Rep. Brad Sherman	Senior Legislative Assistant	Marc's position as a Senior Legislative Assistant to Rep. Sherman, a member of the House Financial Services Committee and outspoken critic of bailouts, will make him a valuable contributor to discussion on too big to fail.
Ammon	Simon	Rep. Randy Hultgren	Legislative Assistant	As a Legislative Assistant to Rep. Hultgren, Ammon will have valuable perspective given his boss' role on the Capital Markets and GSEs Subcommittee.
Michael	Sinacore	Rep. Bruce Poliquin	Legislative Assistant	Michael will provide insightful commentary about capital markets given his boss' role on the House Financial Services Committee.
Rebekah	Solem	Rep. Collin Peterson	Senior Legislative Assistant	As Senior Legislative Assistant to Rep. Peterson, the Ranking Member of the Agriculture Committee, Rebekah will be able to discuss the intricacies of derivatives and capital markets.
Sonya	Sperry	Rep. William Keating	Legislative Assistant	As a Legislative Assistant for Rep. Keating, Sonya will gain relevant knowledge on financial institutions and capital markets.
Jennifer	Steel	Rep. Sean Patrick Maloney	Deputy Chief of Staff	As the Deputy Chief of Staff for Rep. Sean Patrick Maloney, who sits on the Agriculture Committee, Jennifer will be able to participate extensively in discussions about derivatives and capital markets.

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David	Stern	Rep. Robert Dold	Deputy Chief of Staff	As the Deputy Chief of Staff for Rep. Dold, who is a member of the Financial Services Committee, David will provide significant commentary on financial services issues.
Jennifer	Storipan	Rep. Joyce Beatty	Legislative Director	As Legislative Director to Rep. Beatty, Jennifer will have a unique perspective given her boss' role on the Financial Services Committee.
Philip	Swartzfager	Rep. Bruce Poliquin	Legislative Director	As Legislative Director to Rep. Poliquin, a sitting member of the Financial Services Committee, Philip will have a unique perspective on capital markets.
Hill	Thomas	Rep. Gwen Graham	Legislative Director	As the Legislative Director for Rep. Graham, who sits on the Agriculture Committee and the Subcommittee on General Farm Commodities, Hill Thomas will be able to participate in extensive discussions about derivatives.
Jamie	Tricarico	Rep. Peter King	Legislative Director	As Legislative Director to Rep. King, Jamie Tricarico will convey the interests of a seasoned official from a banking-centric region of the country.
Tim	Walsh	Rep. Juan Vargas	Chief of Staff	As the Chief of Staff for Rep. Vargas, a member of the Financial Services Committee, Tim will provide a perspective on capital markets issues as they relate to California's residents.
Carol	Wayman	Rep. Keith Ellison	Legislative Director	As the Legislative Director for Rep. Ellison, Carol will have timely knowledge of financial services issues.
Kevin	Wysocki	Rep. Tom Emmer	Senior Legislative Assistant	Rep. Emmer's position as a member of the Financial Services Committee will make Kevin a valuable contributor to discussion on derivatives and capital markets regulation.



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Rob	Yavor	Rep. Crescent Hardy	Legislative Director	As a Legislative Director to Rep. Hardy, a member of the House Small Business Committee, Rob will gain valuable knowledge on capital markets and financial services issues.
Daniel	Zawitoski	Rep. Scott Peters	Legislative Director	Daniel's role as Legislative Director for Rep. Peters, a member of the House Judiciary Subcommittee on Regulatory Reform, Commercial and Antitrust Law, will give him a unique perspective on capital markets issues.